

# Ramsey-like theorems and moduli of computation

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*Joint work with Peter Cholak*

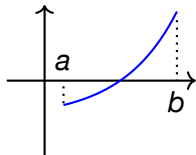


November 27, 2019

# Consider mathematical problems

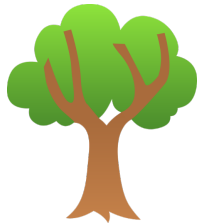
## Intermediate value theorem

For every continuous function  $f$  over an interval  $[a, b]$  such that  $f(a) \cdot f(b) < 0$ , there is a real  $x \in [a, b]$  such that  $f(x) = 0$ .



## König's lemma

Every infinite, finitely branching tree admits an infinite path.



## What sets can problems encode?

Fix a problem  $P$ .

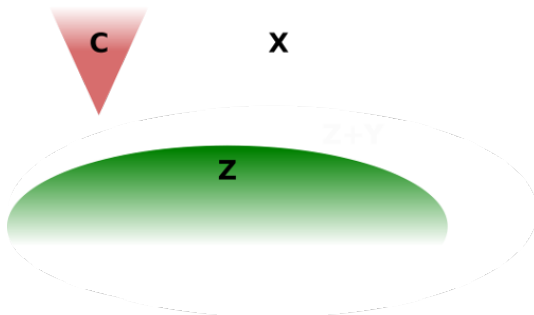
A set  $S$  is  **$P$ -encodable** if there is an instance of  $P$  such that every solution computes  $S$ .

Every computable set is  $P$ -encodable.

# What sets can problems encode?

## Defi (Strong avoidance of 1 cone)

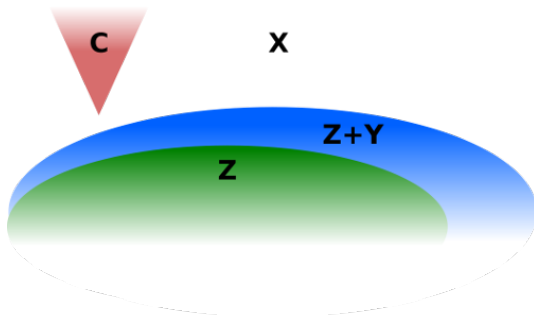
For every  $Z$ , every  $C \not\leq_T Z$  and every instance  $X$ , there is a solution  $Y$  such that  $C \not\leq_T Z \oplus Y$ .



# What sets can problems encode?

## Defi (Strong avoidance of 1 cone)

For every  $Z$ , every  $C \not\leq_T Z$  and every instance  $X$ , there is a solution  $Y$  such that  $C \not\leq_T Z \oplus Y$ .



# What functions can problems dominate?

Fix a problem  $P$ .

A function  $f : \omega \rightarrow \omega$  is  **$P$ -dominated** if there is an instance of  $P$  such that every solution computes a function dominating  $f$

# What functions can problems dominate?

A function  $f$  is **hyperimmune** if it is not dominated by any computable function.

## Defi (Strong preservation of 1 hyperimmunity)

For every  $Z$ , every  $Z$ -hyperimmune function  $f$  and every instance  $X$ , there is a solution  $Y$  such that  $f$  is  $Z \oplus Y$ -hyperimmune.

**Thm (Downey, Greenberg, Harrison-Trainor, P, Turetsky)**

Strong avoidance of 1 cone if and strong preservation of 1 hyperimmunity are equivalent.

Not equivalent in the **unrelativized** version!

- ▶ Fix a non-zero set  $Y$  of hyperimmune-free degree.  
Let  $P_1 : Y \mapsto \{Y\}$ .
- ▶ Fix a hyperimmune  $f$  below a  $\Delta_1^1$ -random.  
Let  $P_2 : f \mapsto \{g : g \geq f\}$ .



# What sets can encode Ramsey's theorem?

# Ramsey's theorem

$[X]^n$  is the set of **unordered  $n$ -tuples** of elements of  $X$

A  **$k$ -coloring** of  $[X]^n$  is a map  $f : [X]^n \rightarrow k$

A set  $H \subseteq X$  is **homogeneous** for  $f$  if  $|f([H]^n)| = 1$ .

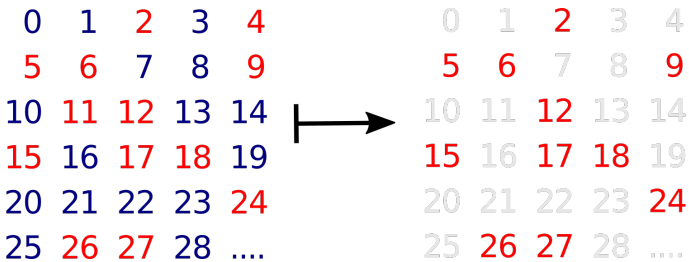
**RT**  
 $k$  <sup>$n$</sup>

Every  $k$ -coloring of  $[\mathbb{N}]^n$  admits  
an infinite homogeneous set.

# Pigeonhole principle

$RT_k^1$

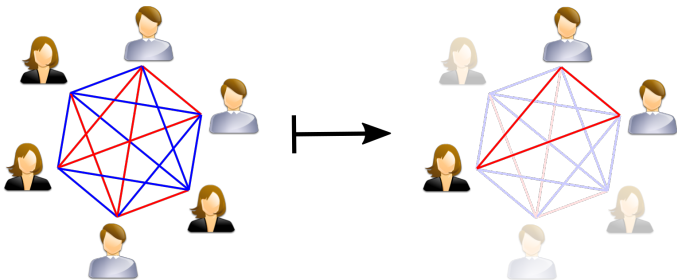
Every  $k$ -partition of  $\mathbb{N}$  admits an infinite part.



# Ramsey's theorem for pairs

$RT_k^2$

Every  $k$ -coloring of the infinite clique admits an infinite monochromatic subclique.



## Thm (Jockusch)

Every function is  $RT_2^2$ -dominated.

Given  $g : \omega \rightarrow \omega$ , an interval  $[x, y]$  is  **$g$ -large** if  $y \geq g(x)$ .  
Otherwise it is  **$g$ -small**.

$$f(x, y) = \begin{cases} 1 & \text{if } [x, y] \text{ is } g\text{-large} \\ 0 & \text{otherwise} \end{cases}$$

A function  $f$  is a **modulus** of a set  $S$  if every function dominating  $f$  computes  $S$ .

Thm (Groszek and Slaman)

The sets admitting a modulus are the  $\Delta_1^1$  sets.

Thm (Jockusch)

Every  $\Delta_1^1$  set is  $RT_2^2$ -encodable.

A set  $S$  is **computably encodable** if for every infinite set  $X$ , there is an infinite subset  $Y \subseteq X$  computing  $S$ .

#### Thm (Solovay)

The computably encodable sets are the  $\Delta_1^1$  sets.

#### Thm (Jockusch)

A set is  $RT_k^n$ -encodable for some  $n \geq 2$  iff it is  $\Delta_1^1$ .

The encodability power  
of  $RT_k^n$  comes from the  
**sparsity**  
of its homogeneous sets.



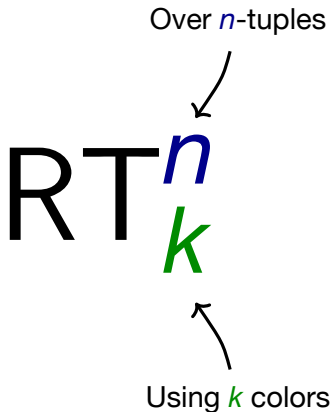
### Thm (Dzhafarov and Jockusch)

The  $RT_2^1$ -encodable sets are the computable sets.

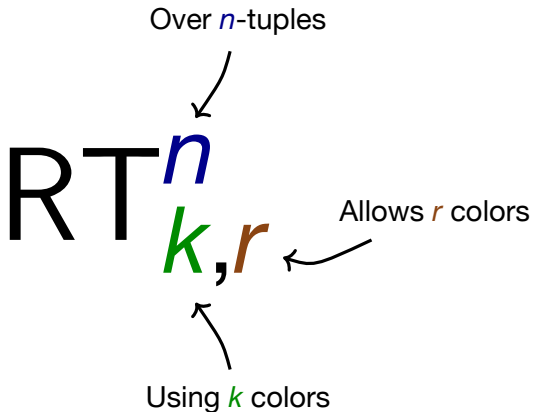
0	1	2	3	4
5	6	7	8	9
10	11	12	13	14
15	16	17	18	19
20	21	22	23	24
25	26	27	28	....

Sparsity of red implies  
non-sparsity of blue  
and conversely.

# Ramsey's theorem



# Ramsey's theorem



### Thm (Wang)

A set is  $RT_{k,\ell}^n$ -encodable iff it is computable for large  $\ell$   
(whenever  $\ell$  is at least the  $n$ th Schröder Number)

### Thm (Dorais, Dzhafarov, Hirst, Mileti, Shafer)

A set is  $RT_{k,\ell}^n$ -encodable iff it is  $\Delta_1^1$  for small  $\ell$   
(whenever  $\ell < 2^{n-1}$ )

## Thm (Cholak, P.)

Every function is  $RT_{k,\ell}^n$ -dominated for  $\ell < 2^{n-1}$ .

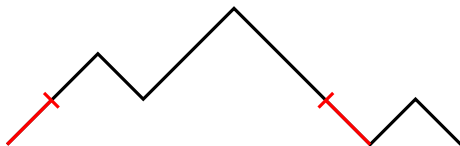
$$f(x_1, x_2, \dots, x_n) = \langle [x_1, x_2] \text{ g-large?}, \dots, [x_{n-1}, x_n] \text{ g-large?} \rangle$$

## Thm (Cholak, P.)

If a set is  $RT_{k,\ell}^n$ -encodable for  $\ell \geq 2^{n-1}$  then it is arithmetical.

# Catalan numbers

$C_n$  is the number of trails of length  $2n$ .



$$C_0 = 1 \quad \text{and} \quad C_{n+1} = \sum_{i=0}^n C_i C_{n-i}$$

1, 1, 2, 5, 14, 42, 132, 429, 1430, 4862, 16796, 58786, ...

# Left-c.e. function

## Defi

A function  $g : \omega \rightarrow \omega$  is **left-c.e.** if there is a uniformly computable sequence of functions  $g_0 \leq g_1 \leq \dots$  limiting to  $g$ .

Given  $x_0, \dots, x_{n-1}$ , define the graph of size  $n$  by

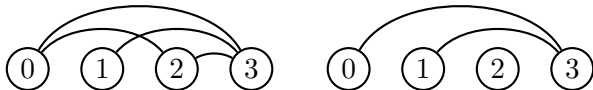


- ▶ if  $b = a + 1$  and  $[x_a, x_{a+1}]$  is  $g$ -large ; or
- ▶ if  $b > a + 1$  and  $[x_a, x_{a+1}]$  is  $g_{x_b}$ -small

Defi

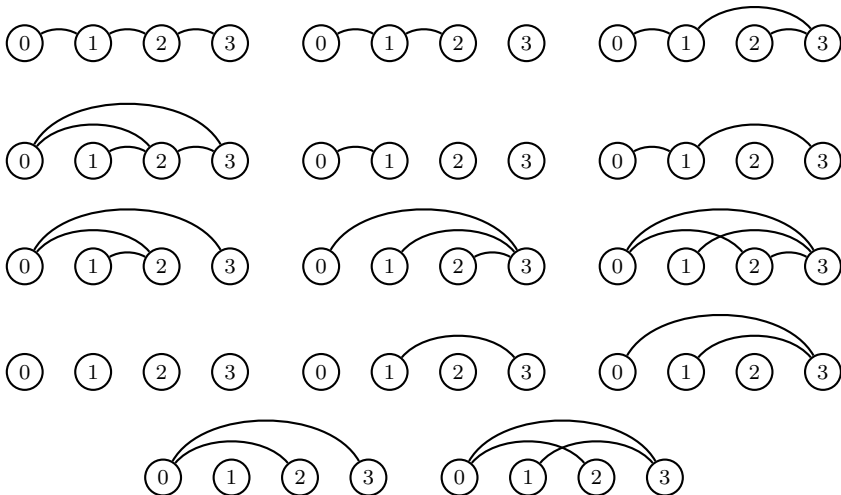
A largeness graph is a pair  $(\{0, \dots, n - 1\}, E)$  such that

- (a) If  $\{i, i + 1\} \in E$ , then for every  $j > i + 1$ ,  $\{i, j\} \notin E$
- (b) If  $i < j < n$ ,  $\{i, i + 1\} \notin E$  and  $\{j, j + 1\} \in E$ , then  $\{i, j + 1\} \in E$
- (c) If  $i + 1 < j < n - 1$  and  $\{i, j\} \in E$ , then  $\{i, j + 1\} \in E$
- (d) If  $i + 1 < j < k < n$  and  $\{i, j\} \notin E$  but  $\{i, k\} \in E$ , then  $\{j - 1, k\} \in E$

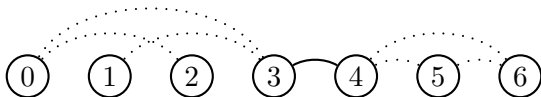




# Largeness graphs of size 4



# Counting largeness graphs



A largeness graph  $\mathcal{G} = (\{0, \dots, n - 1\}, E)$  is **packed** if for every  $i < n - 2$ ,  $\{i, i + 1\} \notin E$ .

- ▶  $L_n$  = number of largeness graphs of size  $n$
- ▶  $P_n$  = number of packed largeness graphs of size  $n$

$$L_0 = 1 \quad \text{and} \quad L_{n+1} = \sum_{i=0}^n P_{i+1} L_{n-i}$$

# Counting packed largeness graphs

A largeness graph  $\mathcal{G} = (\{0, \dots, n-1\}, E)$  of size  $n \geq 2$  is **normal** if  $\{n-2, n-1\} \in E$ .



## Thm (Cholak, P.)

The following are in one-to-one correspondance:

- (a) packed largeness graphs of size  $n$
- (b) normal largeness graphs of size  $n$
- (c) largeness graphs of size  $n - 1$

## Thm (Cholak, P.)

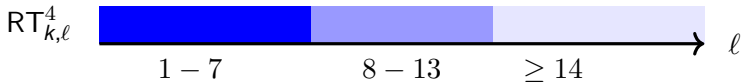
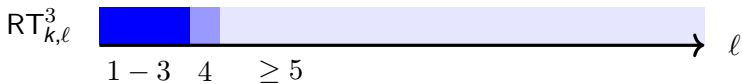
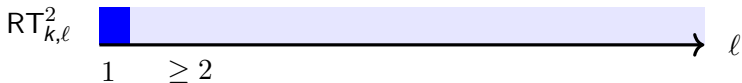
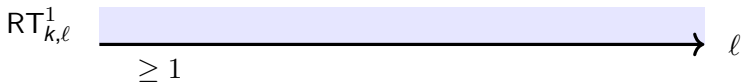
Every **left-c.e.** function is  $RT_{k,\ell}^n$ -dominated for  $\ell < C_n$ .

$$f(x_1, x_2, \dots, x_n) = \text{the largeness graph of } g$$

## Thm (Cholak, P.)

The  $RT_{k,\ell}^n$ -encodable sets for  $\ell \geq C_n$  are the computable sets.

# $RT_{k,l}^n$ -encodable sets



# Ramsey-like theorems

# Erdős-Moser theorem

Fix  $f : [\omega]^2 \rightarrow 2$ .

A set  $H$  is **transitive** if for every  $a < b < c \in H$ , such that  $f(a, b) = f(b, c)$  then  $f(a, b) = f(a, c)$ .

**EM**      Every 2-coloring of  $[\mathbb{N}]^2$  admits  
an infinite transitive set.

Thm (Jockusch)

Every function is  $RT_2^2$ -dominated.

Thm (P.)

EM admits strong avoidance of 1 cone.

Is there a maximal **weakening** of  $RT_k^n$   
which admits strong avoidance of 1 cone?



# Ramsey-like problems

Fix a **formal coloring**  $f : [\omega]^n \rightarrow k$  and **variables**  $x_0 < x_1 < \dots$

An  $RT_k^n$ -**pattern**  $P$  is a finite conjunction of formulas

$$f(x_{i_1}, \dots, x_{i_n}) = v_1 \wedge \dots \wedge f(x_{j_1}, \dots, x_{j_n}) = v_s$$

with  $v_1, \dots, v_s < k$

Given a coloring  $f : [\omega]^n \rightarrow k$ , a set  $H \subseteq \omega$   **$f$ -avoids** an  $RT_k^n$ -**pattern**  $P$  if  $(F, f) \not\models P$  for every finite set  $F \subseteq H$ .

# Ramsey-like problems

## Defi

Given a set  $V$  of  $RT_k^n$ -patterns,  $RT_k^n(V)$  is the problem whose instances are colorings  $f: [\omega]^n \rightarrow k$  and solutions are sets  $f$ -avoiding every pattern in  $V$ .

In particular,  $RT_k^n$ ,  $RT_{k,\ell}^n$  and EM are Ramsey-like problems.

## Thm (P.)

For every  $n, k \geq 1$ , there is a strongest Ramsey-like problem  $RT_k^n(V)$  which admits strong avoidance of 1 cone.

# Ramsey-like problems

Given problems  $P$  and  $Q$ , let  $P \leq_{id} Q$  if  $\text{dom } P \subseteq \text{dom } Q$ , and for every  $X \in \text{dom}(P)$ ,  $Q(X) \subseteq P(X)$ .

## Thm (P.)

There is a Ramsey-like problem  $\text{SCA-RT}_k^n$  such that for every set  $V$  of  $\text{RT}_k^n$ -patterns,  $\text{RT}_k^n(V)$  admits strong avoidance of 1 cone iff  $\text{RT}_k^n(V) \leq_{id} \text{SCA-RT}_k^n$ .

To decide strong avoidance for  $\text{RT}_k^n(V)$ , simply check that

$$\bigvee V \rightarrow \bigvee V_{\text{SCA-RT}_k^n}$$

is a tautology.

Example:  $\text{SCA-RT}_k^2$ Defn ( $\text{SCA-RT}_k^2$ )

For every coloring  $f : [\omega]^2 \rightarrow k$ , there are two colors  $s, \ell < k$  and an infinite set  $H \subseteq \omega$  such that

- ▶  $f[H]^2 \subseteq \{s, \ell\}$
- ▶  $f(x, y) = f(y, z) = s$  iff  $f(x, z) = s$  for every  $x < y < z \in H$

It looks like over  $H$ , there is some function  $g : \omega \rightarrow \omega$  such that

$$f(x, y) = \begin{cases} \ell & \text{if } [x, y] \text{ is } g\text{-large} \\ s & \text{otherwise} \end{cases}$$

This analysis **generalizes** the following theorems:

- ▶  $RT_2^2$  admits avoidance of 1 cone (Seetapun)
- ▶  $RT_2^1$  admits strong avoidance of 1 cone (Dzhafarov and Jockusch)
- ▶ EM admits strong avoidance of 1 cone (P.)
- ▶  $RT_{k,C_n}^n$  admits strong avoidance of 1 cone (Cholak and P.)
- ▶  $FS^n$  admits strong avoidance of 1 cone (Wang)
- ▶ ADS does not admit strong avoidance of 1 cone

# Conclusion

Ramsey-type problems compute through **sparsity**.

The **computational** properties of Ramsey-type problems are consequences of their **combinatorics**.

The analysis of Ramsey-like theorems is induced by the **exact bound analysis** of the thin set theorems.

# References



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