# random bits in practice and theory 

RaCAF project

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Is randomness real?

## randomness around us



## more serious efforts



2
table of random digits
$00050 \quad 09188 \quad 20097$
$\begin{array}{lll}00051 & 90045 & 85497 \\ 00052 & 73189 & 50207\end{array}$
00052
00053
00054

7318950207 7576876490 5401644056

## 32825395270422086304

 5198150654 4767726269 2097187749 6628131003 00682273988338987374 91870 2712467018 9537505818 2071453295 2071453295

6427858044 6847664659 4136182760 9382343178 $\begin{array}{ll}93823 & 43178 \\ 07706 & 17813\end{array}$

## Rand Corporation, A Million Random Digits with 100,000

 Normal Deviates (1955)
## electronic devices



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- still the choice of programming language in advance is more reasonable than the choice of the test


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- exist iff one-way functions exist (Hastad, Impagliazzo, Luby, Levin)


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- some explicit constructions
- also two independent weakly random sources


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- cryptographic protocols (one-time pad, secret sharing)


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- but still could have good convergence for Monte-Carlo etc.


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- a special type of "whitening": no hope to get uniform randomness, just computably indistinguishable


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- conjecture: digits of $\pi$ form a normal sequence


## history of tests

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- Simard, l'Ecuyer TestU01 (2007)


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- secondary tests (in Knuth, widely used in diehard)


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- quantitative algorithmic randomness theory


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theory vs. practice: ID Quantique


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It is quite straightforward to define whether a sequence of infinite length is random or not. This sequence is random if the quantity of information it contains - in the sense of Shannon's information theory - is also infinite.

In other words, it must not be possible for a computer program, whose length is finite, to produce this sequence. Interestingly, an infinite random sequence contains all possible finite sequences.

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- "For a $P$-value $\geqslant 0.001$, a sequence would be considered to be random with a confidence of $99.9 \%$. For a $P$-value $<0.001$, a sequence would be considered to be non-random with a confidence of 99.9\%" (1-4)


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- "Unlike $\alpha$ [the probability of Type I error], $\beta$ is not a fixed value. $\langle\ldots\rangle$ The calculation of Type II error $\beta$ is more difficult than the calculation of $\alpha$ because of the many possible types of non-randomness"
- "If a $P$-value for a test is determined to be equal to 1 , then the sequence appears to have perfect randomness" (1-4)
- "For a $P$-value $\geqslant 0.001$, a sequence would be considered to be random with a confidence of $99.9 \%$. For a $P$-value $<0.001$, a sequence would be considered to be non-random with a confidence of 99.9\%" (1-4)
- two incorrect tests deleted from the second version
theory vs. practice: diehard[er]


## theory vs. practice: diehard[er]

- passing the test guarantees nothing (ok, unavoidable)
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## theory vs. practice: diehard[er]

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- what about failing the test?
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- diehard: secondary tests based on incorrect assumptions
- dieharder: "At this point I think there is rock solid evidence that this test [one of the diehard tests] is completely useless in every sense of the word. It is broken, and it is so broken that there is no point in trying to fix it. The problem is that the transformation above is not linear, and doesn't work. Don't use it."


## theory vs. practice: entropy

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- entropy of a distribution (Shannon)


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- for individual objects: Kolmogorov complexity


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- a liquid produced by generators and accumulated in pools?
"The central mathematical concept underlying this [NIST] Recommendation is entropy. Entropy is defined relative to one's knowledge of an experiment's output prior to observation, and reflects the uncertainty associated with predicting its value - the larger the amount of entropy, the greater the uncertainty in predicting the value of an observation"


## theory vs. practice: entropy

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- "Each bit of a bitstring with full entropy has a uniform distribution and is independent of every other bit of that bitstring. Simplistically, this means that a bitstring has full entropy if every bit of the bitstring has one bit of entropy; the amount of entropy in the bitstring is equal to its length' (same NIST document)


## theory vs. practice: whitening

theory vs. practice: whitening

- Santha-Vazirani sources: $X_{1}, \ldots, X_{n}$
theory vs. practice: whitening
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- similar results for $k$ bits: for $F: \mathbb{B}^{n} \rightarrow \mathbb{B}^{k}$ there is SV source and some $k$-bit output string that appear with probability at least $(2 / 3)^{k}$ instead of $(1 / 2)^{k}$


# theory vs. practice: randomness extraction 

theory vs. practice: randomness extraction

- $F(X, R)$ is statistically close to uniform randomness if
theory vs. practice: randomness extraction
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- $X$ is long and has reasonable min-entropy


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- IDquantique uses this approach
- but for fixed $R$ (generated, sent with the device)
- so nothing is guaranteed
- strong extractor: $(F(X, R), R) \approx$ uniform
- can be saved, but only with half of the security parameter


## theory vs. practice: using independence

theory vs. practice: using independence

- randomness extractors with several independent sources
theory vs. practice: using independence
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- exist with good parameters
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theory vs. practice: using independence
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- independence is physically plausible


## theory vs. practice: coding

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- dieharder: non-reproducible results even with fixed seed


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## theory vs. practice: coding

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- tests are hard to debug
- NIST says:

In practice, many reasons can be given to explain why a data set has failed a statistical test. The following is a list of possible explanations.
The list was compiled based upon NIST statistical testing efforts.

1. An incorrectly programmed statistical test.
2. An underdeveloped (immature) statistical test.
3. An improper implementation of a random number generator.
4. Improperly written codes to harness test input data.
5. Poor mathematical routines for computing $P$-values.
6. Incorrect choices for input parameters.

## how to make tests robust

how to make tests robust

- we do not know the exact distribution of a statistic $S$ and $p$-values are unreliable
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- $x_{1}, \ldots, x_{n}$ from the generator we test, $y_{1}, \ldots, y_{m}$ from a reference generator
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- $x_{1}, \ldots, x_{n}$ from the generator we test, $y_{1}, \ldots, y_{m}$ from a reference generator
- may reject a good generator using a bad reference
- $S\left(x_{1}\right), \ldots, S\left(x_{n}\right)$ vs $S\left(x_{n+1} \oplus y_{1}\right), \ldots, S\left(x_{n+m} \oplus y_{m}\right)$


# survey of available generators 

parameters to take into account:

# survey of available generators 

parameters to take into account:

- noise source


# survey of available generators 

parameters to take into account:

- noise source
- whitening


# survey of available generators 

parameters to take into account:

- noise source
- whitening
- access to raw noise


# survey of available generators 

parameters to take into account:

- noise source
- whitening
- access to raw noise
- rate


# survey of available generators 

parameters to take into account:

- noise source
- whitening
- access to raw noise
- rate
- cost


# survey of available generators 

parameters to take into account:

- noise source
- whitening
- access to raw noise
- rate
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- software integration


# survey of available generators 

parameters to take into account:

- noise source
- whitening
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- cost
- software integration
- bonus: open source hard/software


## Araneus



## \$\$\$, zener noise, 100 kbits/s, raw=no, whitening=?

"The raw output bits from the A/D converter are then further processed by an embedded microprocessor to combine the entropy from multiple samples into each final output bit, resulting in a random bit stream that is practically free from bias and correlation"

## Gniibe


\$\$, environment noise, $3 \mathrm{mbits} / \mathrm{s}$, access to raw bits, open source (based on GNU microprocesssor unit), whitening=CRC32 + SHA-256

\$\$, electronic noise, $x \mapsto 2 x-1$ digitization, $300 \mathrm{kbits} / \mathrm{s}$, access to raw bits, whitening=SHA3

## analysis of raw noise bits

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infinite noise：measured vs．model

## Bitbabbler


\$\$-\$\$\$, electronic noise, $x \mapsto 2 x-1$ digitization, $2.5 \mathrm{mbits} / \mathrm{s}$ default, 4 independent generators ( $\$ 150$ version), access to raw bits, variable discretization rate, whitening=XOR

## Bitbabbler: changing rate



100 kHz

default rate 2.5 MHz


5 MHz

## 2 or3 XOR



## TrueRNG


\$\$-\$\$\$, zener noise + ADC,
$3.2 \mathrm{mbits} / \mathrm{s}$, 2 independent generators ( $\$ 100$ version), access to raw bits, whitening=XOR/CRC

## TrueRNG raw noise



## DIY approach



## DIY: not all noise sources are the same


two zener diodes from the same roll

## ID Quantique


\$\$\$-\$\$\$, photon detectors, 4 mbits $/ \mathrm{s}$, no access to raw bits, whitening?, additional randomness extraction available

## ID Quantique: scheme



## certificates as randomness theater?


still fails dieharder/ent tests (before optional randomness extractor)

## security through obscurity

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## - NIST recommends (and insists) on using cryptographic whitening

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## security through obscurity

- NIST recommends (and insists) on using cryptographic whitening
- "approved hash function"
- nothing is proven about them
- and even it were, it won't help

Hash_DRBG's [the random generator based on hash functions] security depends on the underlying hash function's behavior when processing a series of sequential input blocks. If the hash function is replaced by a random oracle, Hash_DRBG is secure. It is difficult to relate the properties of the hash function required by Hash_DRBG with common properties, such as collision resistance, pre-image resistance, or pseudorandomness.

## vulnerabilities

## vulnerabilities

- software attack if a microprocessor is used


## vulnerabilities

- software attack if a microprocessor is used - undetected failure of noise source


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- software attack if a microprocessor is used
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- distribution close to random but still distinguishable


## vulnerabilities

- software attack if a microprocessor is used
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- obscure hash function as a Troyan horse
- distribution close to random but still distinguishable
- last but not least: stupid errors (e.g., AMD Zen FF random generator)


## random bits in practice and theory

- paranoid mode on


## remedies



## remedies

## - xor of independent devices

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- possible to make in-house


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- xor of independent devices
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- open source hardware/software
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- several reasonably cheap commercial generators, no need for a fancy one
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THANKS!

